

Please complete ALL SECTIONS in full using BLOCK CAPITALS. Failure to do so could delay the opening of your trading account.
Please call 0845 355 0802 should you have any questions.

1 - Account Type

CFD Financial Spread Trading

2 - Company Details

Company Name

Principal Type of Business

Correspondence Details

Address Line 1

Address Line 2

Address Line 3

Town

County

Post Code

Country

Business Tel No

Primary Contact

Title Mr Mrs Miss Ms Dr

Other please specify

First Name

Surname

Mobile Tel No

Email Address

Mother's Maiden Name

Registered Address

Same as Correspondence

Address Line 1

Address Line 2

Address Line 3

Town

County

Post Code

Country

Principal place of Business

Same as Correspondence

Address Line 1

Address Line 2

Address Line 3

Town

County

Post Code

Country

Company Bank Details

Bank Name

Account No

Sort Code - -

Or

IBAN

BIC

3 - Compliance Details

Does the company have any pending litigation, disputed accounts or other unresolved matters? Yes No

Has the Company ever been subject to Bankruptcy/Insolvency proceedings? Yes No

Is the company FSA registered or regulated in any other country? Yes No

If yes to any of these questions please give details below.

4 – Trading Experience (Excluding trades for which you received financial advice)

Corporate body

How often have you traded shares on an execution-only basis in the past 12 months?

Never Occasionally Regularly Frequently

How often have you traded on margin in CFDs, Spread Bets, Futures, Options or FX in the past 12 months on an execution-only basis?

Never Occasionally Regularly Frequently

How many years experience do you have trading shares on an execution-only basis?

None Less than 1 year 1-4 years 5+ years

How many years experience do you have trading on margin in CFDs, Spread Bets, Futures, Options or FX on an execution-only basis?

None Less than 1 year 1-4 years 5+ years

Have you traded derivatives on margin in a professional capacity in the past 5 years?

Yes No

We offer leveraged products that are high risk. Do you understand that you can lose more than your initial investment if your transaction does not perform as you expect?

Yes No

Do you understand that small movements in an underlying security can result in your transaction incurring much larger profits or losses, and that the more leverage that is available, the greater this effect will be?

Yes No

Do you recognise that it is your responsibility to monitor your account and use risk management tools where appropriate such as Stop Losses to reduce any potential loss you may incur?

Yes No

The most experienced individual who is authorised manager/supervisor of trading activities

Full Name

How often have you traded shares on an execution-only basis in the past 12 months?

Never Occasionally Regularly Frequently

How often have you traded on margin in CFDs, Spread Bets, Futures, Options or FX in the past 12 months on an execution-only basis?

Never Occasionally Regularly Frequently

How many years experience do you have trading shares on an execution-only basis?

None Less than 1 year 1-4 years 5+ years

How many years experience do you have trading on margin in CFDs, Spread Bets, Futures, Options or FX on an execution-only basis?

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Have you traded derivatives on margin in a professional capacity in the past 5 years?

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Do you understand that small movements in an underlying security can result in your transaction incurring much larger profits or losses, and that the more leverage that is available, the greater this effect will be?

Yes No

Do you recognise that it is your responsibility to monitor your account and use risk management tools where appropriate such as Stop Losses to reduce any potential loss you may incur?

Yes No

5 – Declaration & Signatures

Barclays Bank Plc (the "Introducer") has introduced you to Barclays Stockbrokers Financial Spread Trading and Barclays Stockbrokers CFDs, which is a trading name of City Index Limited, (the "Company"). The provider of the services is the Company and any resulting contract is between you and the Company, and not with the Introducer. Any reference to your account solely refers to your account with the Company and not to any other account you may hold with the Introducer or other companies in its group.

Before you apply to open an account it is important that you:

- have read and understood the agreement under which we will trade with you - the General Terms, Supplemental Terms, Market Information and Key Service Features (together with this Application Form, the "Agreement");
- have read and understood our Trade and Order Execution Policy, Our Risk Warning Notice and our Conflicts of Interest Policy; and
- understand that important information is available on our website www.barclaysstockbrokers.co.uk.

In signing and returning this Application Form I/we confirm for and on behalf of the applicant that:

- I/we wish to apply for an account;
- I/we have full power and authority to enter into the Agreement;
- I/we have read, understood and agree to be bound by the terms of the Agreement as amended from time to time;
- I/we consent to the Trade and Order Execution Policy;
- the information provided in this Application Form is correct;
- I/we agree to the terms in relation to use of personal information provided to the Company (clause 24 of the General Terms) and I/we understand that the Company may store, use and process information relating to identifiable living individuals in connection with the Agreement, including my/our contact details, for example, for responding to credit reference enquiries, searching the electoral register and making enquiries of credit reference and other agencies who may retain a record of the enquiry and information given to them and who may also use my/our information in the future to assist other organisations for crime prevention and credit purposes;
- I/we consent to the Company providing information, including, without limitation, its General Terms, Supplemental Terms, Market Information, Key Service Features, Trade and Order Execution Policy, Risk Warning Notice and Conflicts of Interest Policy together with any updates or changes thereto in electronic form, including without limitation by email and by posting such information on the Company's Website; and
- I/we consent to orders being executed outside a regulated market or multilateral trading facility.

Data Protection

To comply with anti-money laundering regulations, the Company is required to collect information and undertake checks on the identity and residential address of the directors, shareholders and other authorised signatories, including accessing and using information held in any database or other electronic format. The Company may request further supporting documents from you to confirm these details. Such requests could delay the processing of this application.

The Company may use the personal information of identifiable individuals, for example, for responding to credit reference enquiries, searching the electoral register and making enquiries of credit reference and other agencies, who may retain a record of the enquiry and information given to them and who may also use your information in the future to assist other organisations for crime prevention and credit purposes.

You consent to us exchanging information with the firm or person who has introduced you to us (an "Introducer") in accordance with the General Terms.

By providing the Company with information relating to identifiable individuals in connection with the Agreement and this Application Form, the Company will add their contact details (including email details) to its database and will occasionally contact you by email, telephone or by post, about products and services offered by the Company that are similar or related to the products and services provided or previously provided to you. This information may also be displayed on our website. By signing this form you consent to the use of your information in this way unless you indicate to us that you would prefer not to receive such material by writing to Client Management or by ticking this box.

By ticking the box below, you authorise us to pass your details to selected Associated Companies or third parties (including Introducers) for the purpose of contacting you by email, telephone, or post to give you information about carefully selected products or services offered by that party that are similar or related to the products or services provided or previously provided to you by us. You consent to the Company using your data for this purpose for the period you have an account with us and after you have closed it. If you no longer wish to receive such information then please write to Client Management or write directly to the third party.

For further details about how the Company will use your personal information, please see the Privacy and Data Protection section of the Company's General Terms.

By signing this form you represent that all the information contained herein is true and accurate and you agree to be bound by the terms of this Application Form and the Agreement on behalf of the applicant.

Signed (Director and duly authorised signatory)

Date

Full Name

For and on behalf of (Insert full name of corporate entity)

6 – Board Resolution

CERTIFIED COPY OF RESOLUTIONS DULY PASSED AT A MEETING OF THE BOARD OF DIRECTORS OF

("THE CLIENT") HELD ON

A. We, the persons named in Box 1, certify that (a) the information contained in this application form is accurate, complete and up to date and (b) the resolutions at paragraph 1.1 to 1.3 below are true and complete copies of the resolutions of the Client duly passed (and which have not been subsequently varied or revoked) at the Board meeting referred to above, at which a quorum (entitled to vote) was present throughout and at which the directors declared the nature of any declarable interests.

The resolutions were as follows: -

1. it was resolved: -

1.1 to open an account ("the account") with Barclays Stockbrokers Financial Spread Trading/Barclays Stockbrokers CFDs ("the Company") for the purposes of Margin Trading (as defined in the General Terms) on the basis of the Company's terms and conditions for Margin Trading; (as may be amended from time to time).

1.2 that each of the directors named in Box 1 be and are hereby authorised to: -
 1.2.1 sign the application form for and on behalf of the client;
 1.2.2 do all acts, execute all documents and enter into and perform all agreements necessary or convenient for the purposes of opening and/or operating of the account;
 1.2.3 give instructions in relation to the account

1.3 that each of the persons named in Box 2 be and are hereby authorised to give instructions in relation to the account.

B. Each of the directors named in Box 1 jointly and severally warrant that:-

1. the Client has full power and authority to open and operate the account with the Company in accordance with the above resolutions, its memorandum, articles of association and the other constitutional documents and without breach of any law restriction or obligation binding on the client;

2. each of the directors named in Box 1 is a duly appointed director of the Client and holds the position adjacent to their name.

C. Each of the directors named in Box 1 and each of the persons named in Box 2 consent to the use of their personal information as described in section 5 of this application form (one of whom must be the authorised manager/supervisor of trading activities).

Box 1: Directors

Signature

Date

Full Name

Position

Signature

Date

Full Name

Position

Box 2: Persons Authorised to Trade

Signature

Date

Full Name

Position

Signature

Date

Full Name

Position

Documents Required

We will require appropriate evidence of identity from Directors, Shareholders and other persons we consider appropriate. In the first instance, please provide evidence of identity for at least two Directors and any shareholder who owns more than 25% of the shares.

Identification can consist of:

K Domicile - Current Copy Passport or Copy UK Driving License and an original utility bill (dated within the last 3 months).

Non UK Domicile - Current Certified Copy Passport or Certified Copy Identity Card and two original utility bills (dated within the last 2 months)
 Copies must be certified by a lawyer/notary.

The person providing certification must provide their full name, name of company and business telephone number.

Please include the following with your application:

- Evidence of Incorporation
- Proof of registered address
- Memorandum and Articles of Association
- Last three years report and accounts
- Register of Directors and Shareholders

With more complex corporate structures, we will ask for further documentation in order to ascertain the ultimate beneficial owners and controllers.

7 – Director, Authorised Signatory and Shareholder Personal Details

Each Director, Authorised Signatory and any Shareholder who owns more than 25% of the shares must provide their personal information below.

Title	Mr <input checked="" type="checkbox"/>	Mrs <input checked="" type="checkbox"/>	Miss <input checked="" type="checkbox"/>	Ms <input checked="" type="checkbox"/>	Dr <input checked="" type="checkbox"/>	Address Line 1	<input type="text"/>
	Other <input checked="" type="checkbox"/>	please specify <input type="text"/>				Address Line 2	<input type="text"/>
First Name	<input type="text"/>					Address Line 3	<input type="text"/>
Surname	<input type="text"/>					Town	<input type="text"/>
Date of Birth	<input type="text"/>					County	<input type="text"/>
Business Tel No	<input type="text"/>					Postcode	<input type="text"/>
						Country	<input type="text"/>

Title	Mr <input checked="" type="checkbox"/>	Mrs <input checked="" type="checkbox"/>	Miss <input checked="" type="checkbox"/>	Ms <input checked="" type="checkbox"/>	Dr <input checked="" type="checkbox"/>	Address Line 1	<input type="text"/>
	Other <input checked="" type="checkbox"/>	please specify <input type="text"/>				Address Line 2	<input type="text"/>
First Name	<input type="text"/>					Address Line 3	<input type="text"/>
Surname	<input type="text"/>					Town	<input type="text"/>
Date of Birth	<input type="text"/>					County	<input type="text"/>
Business Tel No	<input type="text"/>					Postcode	<input type="text"/>
						Country	<input type="text"/>

Title	Mr <input checked="" type="checkbox"/>	Mrs <input checked="" type="checkbox"/>	Miss <input checked="" type="checkbox"/>	Ms <input checked="" type="checkbox"/>	Dr <input checked="" type="checkbox"/>	Address Line 1	<input type="text"/>
	Other <input checked="" type="checkbox"/>	please specify <input type="text"/>				Address Line 2	<input type="text"/>
First Name	<input type="text"/>					Address Line 3	<input type="text"/>
Surname	<input type="text"/>					Town	<input type="text"/>
Date of Birth	<input type="text"/>					County	<input type="text"/>
Business Tel No	<input type="text"/>					Postcode	<input type="text"/>
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First Name	<input type="text"/>					Address Line 3	<input type="text"/>
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						Country	<input type="text"/>

Title	Mr <input checked="" type="checkbox"/>	Mrs <input checked="" type="checkbox"/>	Miss <input checked="" type="checkbox"/>	Ms <input checked="" type="checkbox"/>	Dr <input checked="" type="checkbox"/>	Address Line 1	<input type="text"/>
	Other <input checked="" type="checkbox"/>	please specify <input type="text"/>				Address Line 2	<input type="text"/>
First Name	<input type="text"/>					Address Line 3	<input type="text"/>
Surname	<input type="text"/>					Town	<input type="text"/>
Date of Birth	<input type="text"/>					County	<input type="text"/>
Business Tel No	<input type="text"/>					Postcode	<input type="text"/>
						Country	<input type="text"/>

What to do next

Please check the details you have provided, ensure they are correct and that the application form is signed. Then send your completed application to: Barclays Stockbrokers Financial Spread Trading/Barclays Stockbrokers CFDs, Park House, 16 Finsbury Circus, London EC2M 7EB.