

FX Trading Account

Individual Account Application Form

Please complete ALL SECTIONS in full using BLOCK CAPITALS. Failure to do so will delay the opening of your trading account. Please call 0845 300 9050 if you have any questions.

Personal Details

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| <p>Title: Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Other <input type="text"/></p> <p>First name(s) <input style="width: 100%;" type="text"/></p> <p>Middle Name <input style="width: 100%;" type="text"/></p> <p>Surname <input style="width: 100%;" type="text"/></p> <p>Date of Birth <input type="text"/> / <input type="text"/> / <input type="text"/><input type="text"/><input type="text"/><input type="text"/><input type="text"/></p> <p>Nationality <input style="width: 100%;" type="text"/></p> <p>Current Address Details</p> <p>Address <input style="width: 100%;" type="text"/> <input style="width: 100%;" type="text"/> <input style="width: 100%;" type="text"/> <input style="width: 100%;" type="text"/> <input style="width: 100%;" type="text"/> <input style="width: 100%;" type="text"/> <input style="width: 100%;" type="text"/></p> <p>Postcode <input style="width: 100%;" type="text"/></p> <p>Occupancy Owner <input type="checkbox"/> Rented <input type="checkbox"/> Living with Parents <input type="checkbox"/></p> <p>Time at current address <input type="text"/><input type="text"/> Years <input type="text"/><input type="text"/> Months</p> <p><small>If you have been at the above address less than 3 years, please give your previous address.</small></p> | <p>Previous Address</p> <p>Address <input style="width: 100%;" type="text"/> <input style="width: 100%;" type="text"/> <input style="width: 100%;" type="text"/> <input style="width: 100%;" type="text"/> <input style="width: 100%;" type="text"/> <input style="width: 100%;" type="text"/></p> <p>Postcode <input style="width: 100%;" type="text"/></p> <p>Time at previous address <input type="text"/><input type="text"/> Years <input type="text"/><input type="text"/> Months</p> |
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Contact Details

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|---|--|
| Home Tel No <input style="width: 100%;" type="text"/> | Mobile No <input style="width: 100%;" type="text"/> |
| Business Tel No <input style="width: 100%;" type="text"/> | Email address* <input style="width: 100%;" type="text"/> |

* Mandatory information which will be used to send you important information on how to access the FX trading platform

Trading Experience

Trading FX carries significant risk. In order for us to ascertain your suitability for FX, please answer the following three questions regarding your trading experience.

Have you previously dealt in FX or any other margin trading instrument? Yes No

Have you been trading investments for over one year? Yes No

Do you work in the financial services industry, financial markets or hold professional qualifications? Yes No

If you answered 'No' to any of the first three questions, please answer all of the following questions:

Do you understand the characteristics of this type of investment? Yes No

Do you understand the terms "leverage, margin"? Yes No

Do you understand that foreign exchange markets are highly liquid and that price fluctuations can be extremely rapid? Yes No

Are you aware that leveraging means that any upside market movements are amplified, but that any downside movements are also amplified, and that this means your gains/losses will also be amplified? Yes No

Are you aware that you may lose more than your initial margin & may need to make further payments? Yes No

Do you understand that if the market moves against you, you may be called upon to pay additional cash/margin in order to maintain your open positions? Yes No

Are you aware that spot forex trades are not traded on or under the rules of a recognised or designated investment exchange, and that consequently, engaging in spot forex trading may expose you to substantially greater risks than investments which are traded on-exchange? Yes No

Declaration

This contract is between you and Barclays Stockbrokers Ltd ("BSL") ("the Company") which is a member of the Barclays Bank PLC Group ("the Group"). It is important that before you apply to open an account you read and understand (a) the General Terms (b) the Risk Warning Notice and (c) our Trade and Order Execution Policy. Only sign this agreement if you wish to be bound by the Terms. If there is anything you do not understand or do not wish to agree to, please call our customer services team on 0845 300 9050.

Signature

Date

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In completing and returning this application you confirm that you:

- consent to the Trade and Order Execution Policy;
- are over 18 and that the information provided in this application is correct;
- agree to the Terms in relation to use of personal information you provide to the Company. You understand that the Introducer and the Company will obtain, process and disclose personal and financial information relating to you as detailed in clause 24 of the General Terms and this section 9 Declarations;
- have read and understood the questions set out within the Trading Experience Risk Warning Notice and have answered to the best of your knowledge.

What to do next

1. Please check all the details you have provided, ensure they are correct, and that the application form is signed.
2. Include an original bank statement, which is no more than three months old, and shows your name and current address.
3. Send both documents to:
FX Account Opening Team
Barclays Stockbrokers Limited
300 Bath Street
Glasgow
G2 4LH

Data Protection

Data Protection – Barclays Stockbrokers Limited (“the Company”)

The Company will collect and use information about you as set out in Paragraph 30 of the Barclays Wealth Terms, and Clause 19 of the Barclays Stockbrokers FX Terms and Conditions, store and process your information on its and other members of its group’s computers and in any other way. “Your information” means personal and financial information about you that the Company:

- a) obtains from you or from third parties (including the Company) or other organisations when you apply for an account with the Company through the Group introductory channel or any other product or service, or which you or they give to the Company at any other time; or
- b) learn from the way you use learning tools (including trading simulators) and manage your account with the Company and from transactions you make and from the payments which are made to your account with the Company.

The Company and other members of its group of companies will use your information to provide services, for assessment and analysis (including market and product analysis), and to develop and improve services to you and other customers and to protect its interests.

The Company and other members of its group of companies will use your information to inform you by letter, telephone (including sending text messages), or computer (including email) about products and services (including those of others within the group of companies) which may be of interest to you. You may tell the Company if you do not wish to receive marketing material from other members of its group of companies by contacting the Data Protection Coordinator, Barclays Stockbrokers Limited, Tay House, 300 Bath Street, Glasgow G2 4LH or by ticking this box.

Internet communications are not secure unless the data being sent is encrypted. The Company cannot accept any responsibility for unauthorised access by a third party or for the corruption of data sent to the Company save to the extent it arises as a result of its negligence, wilful default or fraud (and that of other members of the group). For security purposes the Company may monitor electronic communications (including e-mails) received by it or issued by it.

For your protection and the Introducer’s, to check instructions and to maintain high quality service standards, the Company may record and monitor telephone calls made to it or by it.

The Company may give information about you and how you manage the services it provides you and your Account with the Company to the following:

- a) people who provide a service to the Company or are acting as its agents, on the understanding that such persons will keep the information confidential;
- b) anyone to whom the Company transfers its rights and duties (subject to prior agreement between the Company and the Group);
- c) to the Company (including financial and personal information about you or learned about you from learning tools (including trading simulators) and for the purposes and disclosures of this Declaration form:
 - i. Your information may be held and processed by computer or otherwise by the Company.
 - ii. In respect of the provision of the account by the Company and other services offered by the Company, the Company may disclose your information and personal and financial data to other companies within the Company’s Group of companies and service providers.
 - iii. The Company may use your information to contact you about offers and services which they believe may be of interest to you as agreed between you and the Company.
- d) to any successor to the Company as provider of services to clients of the Company.
- e) the Company may also give its information about you if it has a legal or regulatory duty to do so or if the law allows the Company to do so.

Otherwise the Company will keep information about you confidential.

If the Company transfers your information to a service provider or agent in another country, it will make sure that the service provider or agent agrees to apply the same levels of protection as is required to apply to information held in the UK and to use your information only for the purpose of providing the service to the Company.

You may request to access your records held by the Company under the terms of the Data Protection Act 1998. For more details, please write to the Data Protection Co-ordinator, Barclays Stockbrokers Limited, Tay House, 300 Bath Street, Glasgow G2 4LH.

Please check your Application Form, and return to:

FX Account Opening Team, Barclays Stockbrokers Limited, 300 Bath Street, Glasgow, G2 4LH.

Calls made to 0845 numbers will cost no more than 4p per minute, plus 6p call set-up fee (current as at November 2007) for BT residential customers. The price on non-BT phone lines may be different.

To details of those fraud prevention agencies from whom we obtain and with whom we record information about you, contact us on the Barclays Information Line on 0800 400 100. Lines are open from 7am to 11pm UK time. If calling from outside the UK, please call +44 (0)247 6842 100.

Under Data Protection legislation, you have a right of access to certain personal records. Should you wish to exercise this right, please write to our Data Protection Co-ordinator. Where permissible, a fee will be charged for this service.

Telephone calls may be recorded and monitored to maintain high quality service standards, to check instructions and for your and our protection.

For office use only

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| Date | <input type="text"/> | Sort Code | <input type="text"/> | Staff No. | <input type="text"/> | Source | <input type="text"/> |
| Outlet | <input type="text"/> | Staff Name | <input type="text"/> | Premier | <input type="text"/> | Intro Code | <input type="text"/> |

Barclays Stockbrokers is the group name for the businesses of: Barclays Stockbrokers Limited, a member of the London Stock Exchange and PLUS. Registered No. 1986161; Barclays Sharedealing, Registered No. 2092410; and Barclays Bank Trust Company Limited, Registered No. 920880. All companies are registered in England and the registered address is 1 Churchill Place, London E14 5HP. All companies are authorised and regulated by the Financial Services Authority.

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