

Investment ISA

Transfer Application Form

Please complete this form if you are transferring an ISA from another ISA Provider, and ensure that you sign both the Declaration and Authority, and the Transfer authority that are overleaf.

Personal details

Title

First Name(s)

Surname

Date of Birth / /

Nationality

Permanent UK residential Address

Postcode

If outside UK mainland, which country do you live in?

Daytime Tel No.

Evening Tel No. (optional)

Email

Previous Address (If you have lived at the above address for less than three years)

Postcode

National Insurance No.

Please note that if you do not provide us with a National Insurance Number, we may, at our discretion, provisionally open the account, but you will have 30 days to supply any missing information.

- Tick here if you do not have one
- I am an employee/pensioner of the Barclays Group (Please tick box if applicable)
- Please tick this box if you are acting on behalf of the investor under legal authority (see declaration and authority section).

Please provide evidence, certified as a true copy by a Lawyer, Doctor, Bank Manager, Police Officer, Accountant or Justice of the Peace of your legal appointment to act on behalf of the investor.

If you want to transfer your ISA to an existing Barclays Stockbrokers Investment ISA, please quote your account number in the box below.

If you wish to make any changes to the way we manage your income or fees, please complete the section below.

Managing your income and fees

Please choose **one** option to pay your fees and receive income.

- Please take fees from, and pay dividend income to, my ISA.
- Please take fees from my Bank/Building Society account via Direct Debit and pay dividend income to my Chosen Account (Complete Direct Debit overleaf, and Chosen Account Details below.)
- Please take fees from my Bank/Building Society account via Direct Debit and pay dividend income to my ISA. (Complete Direct Debit overleaf.)

If you have chosen Options 1 or 3, please select one option for your dividend income:

Dividend payment options:

- Automatic Dividend Reinvestment** - please use dividend income to buy shares.
- Shares** - where dividends are offered as shares, please add to my ISA. Otherwise take cash.
- Cash** - please pay cash dividends to my ISA.

Chosen Account Details

Bank/Building Society Branch name

Sort code - -

Account number

We must receive a Direct Debit and an original bank/building society statement from your Chosen Account (the statement must not be more than three months old, and must show your name and current address), to set up Options 2 or 3. If we do not receive these, we will revert to Option 1. If you are using a Barclays Bank account, you do not need to send us a bank statement.

Details of the ISA to be transferred

Name of current ISA Provider

Address

Existing ISA Reference

Please choose the type of transfer you wish:

- 1. Full transfer (as stock)**
All stocks in your existing account will be transferred to us, along with any cash balance.
- 2. Full transfer (as cash)**
All stocks in your existing account will be sold and transferred as cash, along with any cash that was already in the account.

3. Partial transfer (as cash)
Please confirm the amount of cash you wish to transfer to your Barclays Stockbrokers ISA £

4. Partial transfer (as stock)
Please confirm the stock(s) to be transferred and number of shares being transferred by including an up to date valuation or statement from your current provider.

If your current broker is unable to transfer any of your stock holdings, and can only transfer these assets as cash, please tick this box if you are happy for us to proceed with selling these shares on your behalf.

Declaration and Authority

You are applying to transfer your existing ISA to an Investment ISA with Barclays Stockbrokers Limited (the ISA Provider).

You declare that:

1. you are 18 years of age or over;
2. you are resident and ordinarily resident in the United Kingdom for tax purposes or, if not resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & pensions) Act 2003 (Crown employees serving overseas), are treated as performing in the United Kingdom, or you are married to, or in a civil partnership with, a person who performs such duties. You will inform Barclays Stockbrokers Limited if you cease to be so resident or ordinarily resident or to perform such duties, or be married to, or in a civil partnership with, a person who performs such duties.
3. you have not subscribed and will not subscribe more than the overall subscription limit in total to a Cash ISA and a Stocks and Shares ISA in the same tax year;
4. all subscriptions made, and to be made, to this ISA shall comprise of cash or investments which belong to you;
5. to the best of your knowledge and belief, the information provided by you in this Application Form is complete, accurate and not misleading.
6. where an application is made on behalf of the Investor: you (the undersigned) hereby declare and agree the above and declare that you are the legally appointed representative of the Investor by authority granted to you by:
(Please tick as appropriate)
 - Guardianship;
 - Power of Attorney;
 - Continuing Power of Attorney;
 - Lasting Power of Attorney;
 - Appointment under Court Order;

You authorise us, Barclays Stockbrokers Limited:

- (a) to hold cash subscriptions, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments, and any other cash otherwise arising in connection with your ISA;
- (b) to make on your behalf any claims to relief from tax in respect of ISA investments;
- (c) on your written request, to transfer or pay to you all ISA investments, interest, dividends, rights or other proceeds in respect of such investments or any other cash held in your ISA; and
- (d) to deduct from your Chosen Account (if applicable) all fees and other sums payable in accordance with the Agreement.


You agree:

- (i) to be bound by the Barclays Wealth Terms and the Additional Terms;
- (ii) to inform us promptly if any amount is paid into your ISA that does not belong to you or if point 2 above ceases to be the case;
- (iii) you will not receive interest on your investment cheque pending the ISA being opened; and
- (iv) that we, Barclays Stockbrokers Limited:
 - may make credit reference, identity, fraud, money laundering and other enquiries and searches;
 - may disclose full account information to credit reference agencies, fraud prevention agencies and insurance companies. You understand that credit reference agencies will maintain a record of our searches and the information we give them, and if you give us false or inaccurate information or we suspect fraud we will record this with fraud prevention agencies. The records and information may be used by insurers, other lenders and other organisations to make assessments for credit and to help make decisions on you or other members of your household on credit, motor, household, life and other insurance facilities (including handling any claims), for debt tracing and to prevent fraud and money laundering. Please note that records held by credit reference agencies may be linked to records about persons financially associated with you. Your application may be assessed by reference to any of these "associated" records; and
 - may collect and use information about you as set out in Paragraph 30 of the Barclays Wealth Terms including: processing information about how you use and manage your accounts (e.g. transactions made); use information supplied by you to inform you about products and services which may be of interest to you; the circumstances in which we will disclose your information to other people including regulatory authorities; use your information relating to medical, health, lifestyle, ethnic background and criminal offences alleged or otherwise for purposes of administration and product or service identification; and the transfer of your information within the Barclays Group or to service providers located in other countries.

You understand that you can request not to receive details of other products or services that may be of interest to you by writing, quoting your account number(s), to: Data Protection Co-ordinator, Barclays Stockbrokers Limited, Tay House, 300 Bath Street, Glasgow G2 4LH.

Applicant signature 


Date

Authorised Representative signature 
(if applicable – see no. 6 above)


Date

Transfer authority

I authorise my current ISA Provider to transfer the ISA quoted overleaf to Barclays Stockbrokers. I authorise my current ISA Provider to provide Barclays Stockbrokers with any information concerning the ISA and to accept any instructions from them relating to the ISA.

Applicant signature 

Date

Authorised Representative signature 
(if applicable – see no. 6 above)

Date

Please check your Application Form and Direct Debit form (making sure you have signed both of them), and return everything to:

Barclays Stockbrokers, ISA New Business Department, Tay House, FREEPOST (KE2462), Glasgow G2 4BR.

To receive details of those fraud prevention agencies from whom we obtain and with whom we record information about you, contact us on the Barclays Information Line on 0800 400 100. Lines are open from 7am to 11pm UK time. If calling from outside the UK, please call +44 (0)247 6842 100.

Under Data Protection legislation, you have a right of access to certain personal records. Should you wish to exercise this right, please write to our Data Protection Co-ordinator. Where permissible, a fee will be charged for this service.

Telephone calls may be recorded and monitored to maintain high quality service standards, to check instructions and for your and our protection.

Item Ref: 9904182. October 2010

Please complete the Direct Debit instruction below, if applicable, before reading and signing overleaf.

Instructions to your Bank or Building Society to pay by Direct Debit

Please make sure you have read the Direct Debit Guarantee in the ISA booklet.



Name and full postal address of your Bank or Building Society:

To: The Manager

Bank/Building Society address

Postcode

Name(s) of account holder(s)

Bank/Building Society Account No.

Branch Sort Code - -

Originator's Identification Number 6 2 4 4 4 2

Reference number

(office use only)

Instruction to your Bank or Building Society

Please pay Barclays Stockbrokers Limited, Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee.

I understand that this instruction may remain with Barclays Stockbrokers Limited and if so, details will be passed electronically to my Bank/Building Society.

Signature(s):

Date:

Banks and Building Societies may not accept Direct Debit Instructions for some types of accounts.